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# **INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/838,852       |
| Filing Date            | APRIL 20, 2001   |
| First Named Inventor   | RICHARD J. ALLEN |
| Group Art Unit         | 2861             |
| Examiner Name          |                  |
| Attorney Docket Number | GB000051         |

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☐ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) \_\_\_\_\_ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☒ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

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|                   |                          |                                   |          |
|-------------------|--------------------------|-----------------------------------|----------|
| Name (Print Type) | GREGORY L. THORNE        | Registration No. (Attorney/Agent) | 39,398   |
| Signature         | <i>Gregory L. Thorne</i> | Date                              | 11/20/01 |

## **CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel# \_\_\_\_\_ on the date below:

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| Name (Print Type) | Natalie A. Manzo        |
| Signature         | <i>Natalie A. Manzo</i> |
| Date              | 11/21/01                |